

NEATH PORT TALBOT COUNTY BOROUGH COUNCIL

AUDIT COMMITTEE

27th March 2019

REPORT OF THE HEAD OF FINANCE – HUW JONES

Matter for information

Wards affected: All wards

Progress Report to 28th February 2019

1. Purpose of the Report

The purpose of this report is to:

- Provide details of the work undertaken in the last quarter
- Provide details of Post Audit Reviews undertaken in the last quarter
- Provide an update on progress against the plan
- Advise members of any staffing issues within the team

2. Background

One of the terms of reference of this Committee is to 'monitor internal (and) external audit performance'. In order to comply with this requirement to monitor the in-house service, a progress report is given below outlining internal audit work undertaken in the last quarter. This work is then set against the original Internal Audit Plan to show what progress has been achieved.

In addition, information is provided for members on the current position regarding staff vacancies and other staffing issues within the team.

3. Audit Assignments Completed

A total of 16 formal audit reports have been issued since 15th November 2018 in line with normal distribution guidelines. Attached as appendix 1 is a schedule of the reports along with a brief summary of the findings and the risk rating applied following the audit.

The following is a list of those reports issued:

- 3 Private Items

- 7 Primary Schools
- 2 Comprehensive Schools
- 2 DBS Checks - Schools
- Vision Impaired West Glamorgan
- Procurement Cards

4. Post Audit Reviews Undertaken

The following Post Audit Reviews (PARs) have been undertaken.

Audit	Date of PAR	Issues arising
Cwmtawe Comprehensive	29.11.18	None
Ysgol Bae Baglan	30.11.18	None
Baglan Primary School	18.01.19	None
Tairgwaith Primary	23.01.19	
Sandfields Primary School	28.01.19	None
YGG Castell-nedd	04.02.19	None
YGG Trebannws	06.02.19	None

5. Progress against Plan

Appendix 2 gives details of the work carried out to date against the 2018/19 plan.

There are currently 3 special investigations in progress.

6. Staffing

The Auditor vacancy will be filled on a secondment basis for 6 months, from 1st April 2019 by one of the audit assistants, a review of the position will be undertaken at the end of the secondment. There are no plans to fill the resultant audit assistant vacancy until such times as the outcome of the secondment is known.

7. Financial Impact

There will be a budget saving in respect of salary costs for the vacant Assistant Auditor post.

8. Equality Impact Assessment

There are no equality impacts associated with this report.

9. Workforce Impacts

There are no workforce impacts associated with this report.

10. Legal Impacts

There are no legal impacts associated with this report.

11. Risk Management

The work undertaken by Internal Audit is a fundamental part of the risk management processes in place across the Authority.

12. Consultation

There is no requirement under the Constitution for external consultation on this item.

14. Appendices

Appendix 1 – Published Reports

Appendix 2 – Audit Plan Monitoring 2018/19

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